

10/05/00
JC943 U.S. PTO

10-06-00

A

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. 38866/204614
	First Inventor or Application Identifier: May
	Title of Invention: SYSTEMS AND METHODS FOR PERFORMING TWO-WAY ONE-TO-MANY AND MANY-TO- MANY AUCTIONS FOR FINANCIAL INSTRUMENTS
	Express Mail Label No. EL149284549US

10/05/00
JC943 U.S. PTO
679848

ADDRESS TO: ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
WASHINGTON, DC 20231

Transmitted herewith for filing in the United States Patent Office is a patent application for:

Inventors: R. Raymond May

1. The Filing Fee has been calculated as shown below:

No. Filed	No. Extra	Small Entity	Large Entity		
		Rate	Fee 1	Rate	Fee 0
BASIC FEE		\$355	\$0		
TOTAL CLAIMS:	11 - 20 = 0	X 9 = \$0		x 18 = \$0	
INDEP CLAIMS:	2 - 3 = 0	X 40 = \$0		x 78 = \$0	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		+130 = \$		+260 = \$	
*If the difference in Column 1 is less than zero, enter "0" in Column 2.		TOTAL \$ 355		TOTAL \$	

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-0605.

a. Fees required under 37 CFR 1.16 (National filing fees).
 b. Fees required under 37 CFR 1.17 (National application processing fees).
 A check in the amount of \$ 355.00 for the filing fee is enclosed.

The above filing fee will be paid along with Applicant(s) Response to the Notice to File Missing Parts.

2. Specification; Total Pages 90

3. 33 Sheets of Formal Drawing(s) (35 USC 113)

4. Declaration and Power of Attorney; *[Total Pages 3.]*
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
i. DELETION OF INVENTOR(S) Signed statement
attached deleting inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2) & 1.33(b).

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s) (including a check for
the \$40.00 fee)

8. 37 CFR 3.73(b) Statement *(when there is an assignee)*; Power of Attorney

9. English Translation Document *(if applicable)*

10. Information Disclosure Statement (IDS)/PTO-1449; 39 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

13. Small Entity Statement(s)
 Statement filed in prior application; status still proper and desired.

14. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
Foreign Priority is _____

15. Other: _____

16. **If a CONTINUING APPLICATION, check appropriate box and supply the requisite
information below and in a preliminary amendment:**

Continuation Divisional Continuation in Part (CIP)
of prior Application No: 09/169,879; filed October 12, 1998

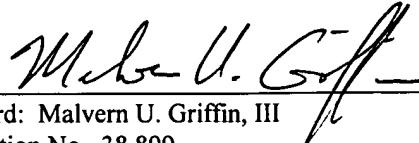
Prior Application Information: Examiner J. Romain Group/Art Unit: 2765

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration
is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is
hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the
submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **000826**

Attention Of: Malvern U. Griffin, III



Signature:

Attorney/Agent of Record: Malvern U. Griffin, III

Attorney/Agent Registration No. 38,899

Tel Atlanta Office (404) 881-7000

Fax Atlanta Office (404) 881-7777

ALSTON & BIRD LLP

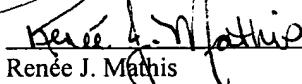
P.O. Drawer 34009

Charlotte NC 28234-4009

"Express Mail" mailing label number EL149284549US

Date of Deposit: October 5, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner For Patents, Washington, D.C. 20231.



Renée J. Mathis

ATL01/10829094v1

(Utility Patent Application Transmittal) Page 3 of 3